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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	10/554,181
		Filing Date	December 27, 2005
		First Named Inventor	NALDINI, LUIGI
		Art Unit	1636
		Examiner Name	GUZO, DAVID
Sheet 1	of 1	Attorney Docket Number	1130-PCT-US

OTHER PRIOR ART–NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/DG/	1	XIE, ET AL., "Bidirectionalization of polar promoters in plants", Nature Biotechnology, Vol. 19, Pages 677-679 (July 2001)	

Examiner Signature	/David Guzo/	Date Considered	11/10/2007
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PTO/3B/08A (02-03)

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		Application Number	10/554,181
		Filing Date	October 21, 2005
		First Named Inventor	Luigi Naldini
		Art Unit	1642
		Examiner Name	Not Yet Known
		Attorney Docket Number	1130-PCY-US
Sheet	1	of	3

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	City No.	Foreign Patent Document Country Code** Number** Kind Code* (If Applicable)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>3</sup>
/DG/	1	WO9712622	4-10-1997	THE SARA INSTITUTE FOR BIOLOGICAL STUDIES		
↓	2	WO9931261	6-24-1999	CELL GENESYS, INC.		
	3	WO0029421	5-25-2000	CELL GENESYS INC.		
	4	WO0066769	11-9-2000	CELL GENESYS INC.		
	5	WO9930742	6-24-1999	NALDINI et al.		
/DG/	6	WO0029557	5-25-2000	CELL GENESYS INC.		

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete If Known**

Application Number	10/554.181
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<b>Filing Date</b>	October 21, 2005
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First Named Inventor	Luisi Naldini
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Art Unit	1642
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Examiner Name	Not Yet Known
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Attorney Docket Number	1130-PCT-US
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Sheet	3	of	3
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#### OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

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		Application Number	Not Yet Known
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		First Named Inventor	Luigi NALDINI
		Art Unit	Not Yet Known
		Examiner Name	Not Yet Known
Sheet	1	of	2
		Attorney Docket Number	1130-PCT-US

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/DG/	1	PCT International Search Report for NALDINI, et al., Int'l Application No. PCT/IT04/000227, Filed April 21, 2004, Dated October 12, 2004	
/DG/	2	YU, et al., "Lentiviral Vectors with Two Independent Internal Promoters Transfer High-Level Expression of Multiple Transgenes to Human Hematopoietic Stem-Progenitor Cells", Molecular Therapy, Vol. 7, No. 6, Pages 827-838 (2003)	
/DG/	3	FUX, Cornelia and FUSSENEGGER Martin "Bidirectional Expression Units Enable Streptogramin-Adjustable Gene Expression in Mammalian Cells", Biotechnology and Bioengineering, Vol. 83, No. 5, Pages 618-625 (2003)	
/DG/	4	FUX, Cornelia and FUSSENEGGER Martin "Toward Higher Order Control Modalities in Mammalian Cells-Independent Adjustment of Two Different Gene Activities", Biotechnol. Prog. Vol. 19, Pages 109-120 (2003)	
/DG/	5	UNSINGER, et al., "Retroviral Vectors for the Transduction of Autoregulated Bidirectional Expression Cassettes", Molecular Therapy, Vol. 4, No. 5, Pages 484-489 (2001)	
/DG/	6	UNSINGER, et al., "Stable and strictly controlled expression of LTR-flanked autoregulated expression cassettes upon adenoviral transfer", Biochemical and Biophysical Research Communications, Vol. 319, Pages 879-887 (2004)	

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10/554181  
JCO9 Rec'd PCT/PTO 21 OCT 2001

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	2	of	2
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**Complete if Known**

Application Number	Not Yet Known
Filing Date	Herewith
First Named Inventor	Luigi NALDINI
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	1130-PCT US

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T
		Country Code* Number * Kind Code* (if known)				
/DG/	7	WO-2003/087294	04-08-2003	Universitätsklinikum Hamburg-Eppendorf		

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